

## ATTACHMENT 4

### EXEMPTION REQUEST FORM

Please note that in order to qualify for an exemption your company must satisfy all of the following criteria:

Your company is:

- (1) one of: (i) an Internet Service Provider, (ii) a Paging Service Provider, (iii) a Reseller, (iv) Type III CLEC; (v) Type IV CLEC; or (vi) an End-Customer
- (2) not an ILEC, CLEC, Wireless Service Provider, Interexchange Carrier, Independent Telephone Company, or a Canadian International Carrier (collectively, "Eligible Carriers"); and
- (3) is not receiving any numbering resources from the CNA (except for SS7 codes, NPA-456-XXX codes and/or a Carrier Identification Code if your company is a Reseller).

If your company does not satisfy all of the above criteria, you will not be entitled to an exemption and your company will be required to provide the documents requested and pay the applicable annual fee in order to continue to obtain numbering resources from the CNA. Failure to submit an exemption request form to the B&C Agent by the required due date will result in your company being deemed to be an Eligible Person for that year and your company will be required to pay an annual fee and applicable late filing fees including interest. A copy of the exemption request form is set out below in this Attachment 4.

Following receipt of a completed exemption request form, CNAC will review the information provided. If CNAC identifies issues with respect to the information provided, CNAC will advise you by letter of the issues and you will have thirty (30) days to respond to such issues. If CNAC continues to have issues following its review of the additional information, your company will be notified that the exemption request has been denied. In the event that your exemption request is denied, your company will be required to submit the Reporting Worksheet and other related documents described below within 30 days of CNAC's decision and you will be required to pay the applicable annual fee.

In the event that CNAC approves your company's exemption request, a letter will be sent to you confirming your company's exemption for the period beginning July 1, 2021 and ending on June 30, 2022 (the "2021 Funding Requirements").

**Please note that your exemption request form, if filed, is only applicable for the year for which it was provided. Accordingly, you will have to apply for exemptions for future years.**

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*This certificate is to be completed by any entity requesting an exemption from the funding mechanism for the administration of Canadian numbering resources, which is administered by the Canadian Numbering Administration Consortium Inc. (CNAC) under the regulatory oversight of the Canadian Radio-television and Telecommunications Commission (CRTC). An exemption will only be granted if all of the statements set out in the exemption request certificate are true and correct.*

*A new Exemption Request Form must be submitted each year.*

**EXEMPTION REQUEST CERTIFICATE**

**TO:** Canadian Numbering Administration Consortium Inc. (“CNAC”)

**AND TO:** Welch LLP (“Welch”)

**RE:** Request to be exempt from the funding mechanism for the administration of Canadian numbering resources for the period beginning on July 1, 2021 and ending on June 30, 2022 (the “2021 Funding Requirement”)

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The undersigned hereby certifies the following:

1. I am the \_\_\_\_\_ [Name Office Held] of \_\_\_\_\_ [Name of Company] (the “Company”) and as such I have personal knowledge of the matters hereinafter deposed to except where stated to be based on information and belief and where so stated I verily believe the same to be true. I understand that the information provided in this certificate is to be used by CNAC to make a determination as to the requirement of the Company to assist in the funding of the administration of numbering resources in Canada.
2. The Company is not an ILEC, CLEC, Wireless Service Provider, Interexchange Carrier, Independent Telephone Company, or a Canadian International Carrier (collectively, “Eligible Carriers”) (as such terms are defined in Schedule “A” attached to this certificate).
3. The Company is: (please check appropriate box)

<input type="checkbox"/> an Internet Service Provider	<input type="checkbox"/> a Paging Service Provider
<input type="checkbox"/> a Reseller	<input type="checkbox"/> a Type III CLEC
<input type="checkbox"/> a Type IV CLEC	<input type="checkbox"/> an End-Customer
<input type="checkbox"/> Other _____ (as such terms are defined in Exhibit A attached to this certificate)	
4. The Company is: (please check appropriate box)

<input type="checkbox"/> not a Reseller and does not use the Canadian Numbering Administrator’s (COMsolve) services directly to obtain any Numbering Resources of any kind including any Central Office Codes, Carrier Identification Codes or any other numbering resources.
<input type="checkbox"/> is only a Reseller and either (A) does not use the Canadian Numbering Administrator’s (COMsolve) (“CNA”) services directly to obtain any Numbering Resources of any kind including any Central Office Codes or any other numbering resources, or (B) has only used the CNA’s services to directly obtain SS7 codes, NPA-456-XXX codes and/or Carrier Identification Codes for its telecommunications resale business.

**DATED** as of \_\_\_\_\_

\_\_\_\_\_  
Name:  
Title:  
Company:

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**EXHIBIT A**  
**To Exemption Request Form**

**Applicable Definitions**

**“Canadian Carrier”** means a Canadian carrier as defined in the *Telecommunications Act*, S.C. 1993, c. 38, as amended.

**“Canadian International Carrier”** means a Canadian Carrier which provides Telecommunications Services between any place in Canada and any place outside Canada.

**“CLEC”** means a Canadian Carrier that has satisfied the requirements to become a competitive local exchange carrier as contemplated by Telecom Decision CRTC 97-8 or as otherwise determined by the CRTC.

**“CNAC”** means Canadian Numbering Administration Consortium Inc.

**“End-Customer”** means a person that purchases Telecommunications Services (for the purpose of this definition, the meaning of Telecommunications Services shall be the same as the one defined in the *Telecommunication Act*, S. C. 1993, c. 38, as amended) provided by Canadian Carriers or by a Reseller as defined herein.

**“ILEC”** means any of TELUS Communications Company, MTS Allstream Inc., Saskatchewan Telecommunications, Bell Canada, Telebec Limited Partnership, Northwestel Inc., Bell Aliant Regional Communications, Limited Partnership or their successors, or as otherwise determined by the CRTC.

**“Independent Telephone Company”** means a Canadian Carrier that is any of the small incumbent local exchange carriers identified by the CRTC in Decision CRTC 2001-756.

**“Interexchange Carrier”** means a Canadian Carrier that provides interexchange Telecommunications Services that are interconnected to the PSTN.

**“Internet Service Provider”** means a Person who provides access to the internet, including but not limited to, services such as electronic mail and internet information services.

**“Numbering Resources”** means the numbering resources administered by Canadian Numbering Administrator.

**“Operating Company Number”** or **“OCN”** is a code used to uniquely identify and associate a company with certain records in iconectiv’s databases and in related output products (e.g. LERG™ Routing Guide, V&H coordinates data in the TPM™ Data Source and are used in various telecommunications industry processes primarily as a means to identify TSPs.

**“Paging Service Provider”** means a Person duly licensed under the Radiocommunications Act, R. S., 1985, C.R-2, as amended, who provides to its customers, via a radio paging terminal and system, a one-way or two-way telecommunications service consisting of a voice or alphanumeric message.

**“Public Switched Telephone Network” or “PSTN”** means the world-wide voice telecommunications network, or any portion thereof, which utilizes the International Telecommunications Union (ITU) Recommendation E. 164 numbering plan, or as amended, of which the NANP is an integral part, and which is composed of all transmission, signaling and switching facilities supplied and operated by all telecommunications common carriers, including wireless and wireline carriers, for use by the public.

**“Reseller”** means a Person that is not a Canadian Carrier and which is engaged in the resale of Telecommunications Services using facilities obtained from other telecommunications service providers.

**“Telecommunications Services”** means services which are provided over the PSTN by Eligible Persons and which utilize Numbering Resources including, for greater certainty and without limitation, local exchange services, long distance services, wireless telephony services, and international long distance services; but shall exclude enhanced services (e.g. call answer, speed dialing, three-way calling), the sale or lease of terminal equipment (e.g. telephone sets, PBX's, key systems, answer-record machines, computers), the sale or lease of private lines, other private line and private network services, internet services, and paging services.

**“Telecommunications Services Revenue”** means revenues derived from providing Telecommunications Services (such revenue is to be measured in accordance with Canadian generally accounting principles).

**“Type III CLEC”** means a CLEC that relies on the facilities of an ILEC or CLEC, either directly or through a resale arrangement, generally for switching and/or interconnection to other ILECs or CLECs to provide local VoIP Services and has greater than 10,000 local exchange service telecommunications subscribers, and includes a CLEC that would otherwise qualify as a Type IV CLEC that ceases to offer local VoIP Services through a resale arrangement with ILEC or CLEC and commence offering local VOIP Services using its own facilities. The 10,000 local exchange service subscribers' threshold applies to the total number of local VoIP Service subscribers that the CLEC has in all the exchanges served, irrespective of other services such as internet access.

**“Type IV CLEC”** means a CLEC that offers local VoIP Services through a resale arrangement with another ILEC or CLEC and has 10,000 or fewer local exchange service telecommunications subscribers. A number of specific CLEC obligations and requirements could be fulfilled on behalf of the small CLEC by the third party. The 10,000 local exchange service subscribers' threshold applies to the total number of local VoIP Service subscribers that the CLEC has in all the exchanges served, irrespective of other services such as internet access.

**“VoIP Service”** means voice communication services using Internet Protocol (IP) that use telephone numbers that conform to the North American Numbering Plan (NANP) and provide universal access to and/or from the public switched telephone network (PSTN).

**“Wireless Service Provider”** means a Canadian Carrier, which is duly licensed under the *Radiocommunication Act* R.S. 1985, c. R-2, as amended and which is authorized to provide wireless telecommunications services and wireless personal communications services).